

Using Technology for More Effective Internal Control Programs



NYSICA
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Ed Johnson
Associate VP
Administration

Geneseo, IC & Technology



- Advantages of technology
- How Geneseo uses IC technology
- Results

Technology... Advantages for IC



- Organizes current activities & programs
- Usable, flexible tools
- Increase compliance
- Increase efficiency
- Inventory & assess IC program gaps
- SUNY & State requirements

What we've done

- **Internal Control Website**
- **Vulnerability Assessment Tool**
- **E-Commerce Marketplace**



Website Development & Implementation

- AVP, IT Manager, intern
- 4 months
- Pilot test



IC Website Pointers

- User friendly URL
internalcontrol.geneseo.edu
NOT
[http://www.geneseo.edu/CMS/display/
php?dpt=ic](http://www.geneseo.edu/CMS/display/php?dpt=ic)
- Embedded links
- **C**ontent **M**anagement **S**ystem

Internal Controls

- General Calendar
- Overview
- Fundamental Definitions
- Internal Control Authorities
- Internal Control Committee
- Our Responsibilities
- Prevent Fraud
- Internal Control Systems
- Internal Control Training
- Vulnerability Assessments
- Additional References

General Calendar

Prevent Fraud

Vulnerability Assessments

Internal Control

is specifically referred to as the New York State Audit and Internal Control Act (originated in Chapter 1000 of the Laws of 1987, made permanent in Chapter 510 of the Laws of 1999), the Geneseo Internal Control Program. The Internal Control Act requires that all State University of New York (SUNY) campuses, including SUNY, institute a formal internal control program. The following are the requirements of the Internal Control Act of 1987 as shown below:

1. Maintain written internal control guidelines;
2. Maintain an internal control system for continuous review of operations;
3. Maintain a system of policy and standards available to all employees;
4. Appoint an Internal Control Officer;
5. Provide training to all employees on internal controls; and
6. Maintain an internal audit function.

SUNY Geneseo IC Assessment Questionnaire

- Survey software first used 2006/07
- Past compliance problems
- Scoring
- Results



E-Commerce Marketplace



Geneseo

[Home](#) | [Register](#) | [Log In](#) | [My Cart](#) 

Faculty/Staff Flu Shot

[Home](#) | [Health Services](#) | [Faculty/Staff Flu Shot](#)



Health Services will be offering flu shots for **SUNY Geneseo Faculty and Staff** the following days, times and locations:

- Wednesday, 10/17/07: 1-3 p.m., Milne Library
- Thursday, 10/25/07: 3-5 p.m., Red Jacket
- Friday, 11/2/07: 10 a.m. - noon, Lauderdale Health Center

No appointments are necessary.
Please remember to bring your valid SUNY Geneseo Faculty/Staff ID Card.

Stock #: 4307453

Price: \$20.00

Quantity:

Website Results



-  Paperwork
- Easily updated
- Simplified references

Assessment Tool Results

- Higher compliance
 - ❖ Related to training
- Highlighted specific issues
- Greater Emphasis on
 - ❖ Succession planning
 - ❖ Disaster planning



E-Commerce Marketplace Results



- Control on-campus cash handling activity
- Increased security of customer id & credit information

Questions

